

1 **A temperature sensitive live-attenuated canine influenza virus H3N8 vaccine**

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23 **Running title:** A live-attenuated canine influenza virus H3N8 vaccine

24 **ABSTRACT**

25 Canine influenza is a respiratory disease of dogs caused by canine influenza virus
26 (CIV). CIV subtypes responsible for influenza in dogs include H3N8, which originated
27 from the transfer of H3N8 equine influenza virus to dogs; and the H3N2 CIV, which is an
28 avian-origin virus that adapted to infect dogs. Influenza infections are most effectively
29 prevented through vaccination to reduce transmission and future infection. Currently,
30 only inactivated influenza vaccines (IIVs) are available for the prevention of CIV in dogs.
31 However, the efficacy of IIVs is suboptimal and novel approaches are necessary for the
32 prevention of disease caused by this canine respiratory pathogen. Using reverse
33 genetics techniques, we have developed a live-attenuated CIV vaccine (LACIV) for the
34 prevention of H3N8 CIV. The H3N8 LACIV replicates efficiently in canine cells at 33°C
35 but is impaired at 37-39°C temperatures, and was attenuated when compared to wild-
36 type H3N8 CIV, *in vivo* and *ex vivo*. The LACIV was able to induce protection against
37 H3N8 CIV challenge with a single intranasal inoculation in mice. Immunogenicity and
38 protection efficacy were better than that observed with a commercial CIV H3N8 IIV, but
39 provided limited cross-reactive immunity and heterologous protection against H3N2 CIV.
40 These results demonstrate the feasibility of implementing a LAIV approach for the
41 prevention and control of H3N8 CIV in dogs and suggest the need for a new LAIV for
42 the control of H3N2 CIV.

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47 **IMPORTANCE**

48 Two influenza A virus subtypes has been reported in dogs in the last 16 years - the
49 canine influenza virus (CIV) H3N8 and H3N2 of equine and avian origin, respectively.
50 To date, only inactivated influenza vaccines (IIVs) are available to prevent CIV
51 infections. Here we report the generation of a recombinant, temperature sensitive H3N8
52 CIV as a live-attenuated influenza vaccine (LAIV), which was attenuated in mice and
53 dog tracheal, explants when compared to CIV H3N8 wild-type. A single dose of H3N8
54 LACIV showed immunogenicity and protection against a homologous challenge that
55 was better than that conferred with an H3N8 IIV, demonstrating the feasibility of
56 implementing a LAIV approach for the improved control of H3N8 CIV infections in dogs.

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70 **INTRODUCTION**

71 Influenza A viruses (IAVs) are enveloped viruses that belong to the
72 *Orthomyxoviridae* family, and contain a genome that comprises eight single-stranded
73 negative-sense RNA segments that encode for 10–14 proteins (1). The hemagglutinin
74 (HA) and the neuraminidase (NA) glycoproteins are the major antigenic determinants of
75 IAV and are essential for receptor binding and fusion, and virion release, respectively
76 (2). IAV HA and NA glycoproteins within infected organisms and populations are driven
77 to evolve antigenic variants via immunological pressure, and in humans and some other
78 hosts positive selection of viruses occurs gradually in a process known as antigenic drift
79 (3). The antigenic diversity of glycoproteins is used to further classify IAVs, of which
80 there are 18 HA and 11 NA subtypes (4, 5). In addition, antigenically distinct isolates
81 can also exist within the same subtype, referred to as drifted variants. IAVs exist mainly
82 in the wild aquatic fowl reservoir (6-9) and only a small number of mammalian hosts are
83 currently recognized as sustaining transmission of IAVs.

84 Canine influenza is a contagious respiratory disease of dogs caused by two IAVs:
85 the H3N8 equine-origin influenza virus that transferred to dogs in the United States (US)
86 around 1999 (10); and the avian virus-like H3N2 that transferred to dogs in Asia around
87 2005 (11). In 2015 an outbreak of H3N2 canine influenza virus (CIV) occurred in the US
88 that was due to a virus similar to those detected in dogs in Asia (12). The H3N2 CIV has
89 also been isolated from cats in a shelter in South Korea (13, 14). These CIVs represent
90 new threats to canine health in the US and worldwide, as the virus may be spread
91 through the racing track circuit, as was the case of the H3N8 strain (10, 15), while both
92 viruses are spread widely within and among animal shelters and kennels (10, 16, 17).

93 CIVs are still relatively new viruses and because of the low levels of infection and
94 immunity among the broader population most dogs are susceptible to infection. Most
95 dogs infected by CIVs show only a mild respiratory illness, but severe outcomes are
96 also observed (18).

97 The recent emergence of CIVs (H3N8 and H3N2 CIVs) has increased the host
98 range of IAVs. The continuous circulation of CIVs in dog populations creates
99 opportunities for exposure of humans and other animals. As dogs are susceptible to
100 mammalian (equine-origin H3N8 CIV) and avian (avian-origin H3N2 CIV) IAVs, they
101 may have the potential to act as “mixing vessel” hosts for new IAV strains with potential
102 for human infection. Reassortments between H3N2 CIVs and human pandemic H1N1
103 IAV have been reported (19, 20), and the introduction of novel, antigenically distinct
104 glycoproteins (HA and NA) into the backbone of human IAVs have been associated with
105 human pandemics (21).

106 Vaccination is accepted as an effective strategy for the prevention of influenza
107 infections (22, 23). To date, three types of influenza virus vaccines have been approved
108 by the US Food and Drug Administration (FDA) for human use: recombinant viral HAs,
109 inactivated influenza vaccines (IIVs), and live attenuated influenza vaccines (LAIVs)
110 (24-26, 22, 27). In dogs, only IIV against both H3N8 and H3N2 CIVs are commercially
111 available. However, we have recently reported the generation of recombinant H3N8
112 CIVs containing truncated or a deleted non-structural 1 (NS1) protein as potential LAIVs
113 candidates for the treatment of H3N8 CIV infections (28).

114 IIVs are administered intramuscularly and elicit humoral immunity by inducing the
115 production of neutralizing antibodies that target epitopes on HA (29, 26). On the other

116 hand, LAIV more closely mimic the natural route of viral infection and elicit both cellular
117 and humoral immune responses (24), providing better immunogenicity and protection
118 (30, 31, 22).

119 In mammals IAV is a respiratory pathogen that replicates in the cooler (33°C) upper
120 respiratory tract, in addition to replicating in the warmer (37°C) conditions of the lower
121 respiratory tract (32). This temperature difference has allowed for the development of
122 cold-adapted (ca), temperature-sensitive (ts), attenuated (att) viruses that replicate in
123 the upper respiratory tract but do not damage the lower respiratory tract due to the
124 elevated temperatures restricting replication (33). For human viruses these ca, ts, att
125 properties have been mapped to five amino acid residues located in three viral proteins
126 of A/Ann Arbor/6/60 H2N2 (A/AA/6/60): the polymerase basic 2 (PB2) N265S, the
127 polymerase basic 1 (PB1) K391E, D581G, and A661T and the nucleoprotein (NP)
128 D34G (34, 35). The mechanisms of attenuation are not fully understood but most likely
129 involve multiple steps in the replication cycle of the virus (33). Importantly, when the ts
130 signature of A/AA/6/60 was introduced into influenza A/Puerto Rico/8/34 H1N1 (PR8) or
131 A/California/04/09 H1N1 (pH1N1) viruses, a similar ts phenotype was observed in tissue
132 culture cells and in the mouse model of infection (36-38).

133 In order to develop a LAIV for the treatment of CIV H3N8 infections, we introduced
134 the ts, ca, att mutations identified in the A/AA/6/60 LAIV into CIV H3N8 (referred to
135 henceforth as LACIV H3N8) using reverse genetics (39). LACIV H3N8 replicated
136 efficiently *in vitro* at 33°C but not 37°C or 39°C. Compared to CIV H3N8 wild-type (WT),
137 LACIV H3N8 was attenuated *ex vivo* and *in vivo* but was able to induce protective
138 immunity in mice against H3N8 WT upon a single intranasal dose, demonstrating its

139 feasibility as a safe, immunogenic and protective LAIV candidate.

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162 **MATERIALS AND METHODS**

163 **Cells and viruses**

164 Human embryonic kidney 293T cells (293T; ATCC CRL-11268) and Madin-Darby
165 canine kidney cells (MDCK; ATCC CCL-34) were grown at 37°C with 5% CO₂, in
166 Dulbecco's modified Eagle's medium (DMEM; Mediatech, Inc.) supplemented with 10%
167 fetal bovine serum (FBS), and 1% PSG (penicillin, 100 units/ml; streptomycin 100 µg/ml;
168 L-glutamine, 2 mM) (40).

169 Recombinant wild-type (WT) and live-attenuated (LACIV) H3N8 CIVs were
170 generated using A/canine/NY/dog23/2009 H3N8 plasmid-based reverse genetics
171 techniques (41) and grown in MDCK cells at 33°C. Influenza A/Ca/IL/41915/2015 H3N2
172 was also grown in MDCK cells at 33°C. For infections, virus stocks were diluted in
173 phosphate buffered saline (PBS), 0.3% bovine albumin (BA) and 1% PS (PBS/BA/PS).
174 After viral infections, cells were maintained in DMEM with 0.3% BA, 1% PSG, and 1
175 µg/ml TPCK-treated trypsin (Sigma) (39).

176 **Plasmids**

177 To generate H3N8 LACIV, the PB2 and PB1 genes were subcloned in a pUC19
178 plasmid (New England Biolabs) and then ts mutations (PB2 N265S; and PB1 K391E,
179 D581G, and A661T) were introduced by site-directed mutagenesis. The presence of
180 introduced mutations was confirmed by sequencing. Mutated PB2 and PB1 viral
181 segments were subcloned from pUC19 into the ambisense pDZ plasmid for virus rescue.
182 To test the ability of WT and LACIV H3N8 polymerases to replicate and transcribe at
183 different temperatures (33°C, 37°C and 39°C) using a minigenome assay, we
184 engineered a pPoll plasmid containing the canine RNA polymerase I (Pol-I) promoter

185 and the mouse Pol-I terminator separated by SapI endonuclease restriction sites
186 (cpPol-I). The canine Pol-I promoter was obtained by PCR from MDCK cells (42).
187 Then, the Gaussia luciferase (Gluc) reporter gene containing the 3' and the 5' non-
188 coding regions of the viral NP (v)RNA was cloned into the cpPol-I plasmid to generate
189 the cpPol-I Gluc reporter plasmid. All plasmids were confirmed by sequencing (ACGT,
190 Inc). Primers for the generation of the different plasmids are available upon request.

191 **Minigenome assays**

192 MDCK cells (12-well plate format, 5×10^5 cells/well, triplicates) were co-transfected in
193 suspension using Lipofectamine 2000 with 250 ng of each of the H3N8 WT or LACIV
194 ambisense pDZ PB2, PB1, PA and NP plasmids, together with 500 ng of the cpPol-I
195 Gluc plasmid. A mammalian expression pCAGGS plasmid
196 encoding Cypridina luciferase (Cluc, 100 ng) was also included to normalize
197 transfection efficiencies (43). Cells transfected in the absence of the pDZ NP plasmid
198 were used as negative control. At 24 hours (h) post-transfection, Gluc and Cluc
199 expression levels were determined using a Luciferase Assay kit (New England BioLabs)
200 and quantified with a Lumicount luminometer (Packard). Fold induction over the level of
201 induction for the negative control (absence of NP) was determined. The mean values
202 and standard deviations (SDs) were calculated and statistical analysis was performed
203 using a two-tailed Student *t* test using Microsoft Excel software.

204 **Virus rescue**

205 Virus rescues were performed as previously described (44, 40). Briefly, co-cultures
206 (1:1) of 293T/MDCK cells (6-well plate format, 10^6 cells/well, triplicates) were co-
207 transfected in suspension, using Lipofectamine 2000 (Invitrogen), with 1 μ g of the eight-

208 ambisense H3N8 WT CIV (pDZ-PB2, -PB1, -PA, -HA, -NP, -NA, -M and -NS) plasmids.
209 To rescue the H3N8 LACIV, WT PB2 and PB1 pDZ plasmids were substituted by those
210 containing PB2 and PB1 H3N8 LACIV. At 12 h post-transfection, transfection medium
211 was replaced with post-infection (p.i.) medium containing DMEM supplemented with
212 0.3% BSA, 1% PSG, and 0.5 µg/ml TPCK-treated trypsin (Sigma). Tissue culture
213 supernatants (TCS) were collected 3 days post-transfection, clarified, and used to infect
214 fresh monolayers of MDCK cells (6-well plate format, 10^6 cells/well, triplicates). At 3
215 days p.i., recombinant viruses were plaque purified and scaled up using MDCK cells at
216 33°C (40). Virus stocks were titrated by standard plaque assay (plaque forming units,
217 PFU/ml) in MDCK cells at 33°C (40).

218 **Virus growth kinetics**

219 Multicycle growth analyses were performed by infecting confluent monolayers of
220 MDCK cells (12-well plate format, 5×10^5 cells/well, triplicates) at a multiplicity of infection
221 (MOI) of 0.001. Viral titers in TCS collected at various times p.i. were determined by
222 immunofocus assay (fluorescent forming units, FFU/ml) in MDCK cells as previously
223 described (40). Briefly, confluent MDCK cells (96-well plate format, 5×10^4 cells/well,
224 triplicates) were infected with 10-fold serial dilutions of H3N8 WT or LACIV. At 12 h p.i.,
225 cells were fixed and permeabilized (4% formaldehyde, 0.5% Triton X-100 in PBS) for 15
226 min at room temperature. After washing with PBS, cells were incubated in blocking
227 solution (2.5% BSA in PBS) for 1 h at room temperature and then incubated with 1 µg/ml
228 of an anti-NP monoclonal antibody (HB-65, ATTC) for 1 h at 37°C. After washing with
229 PBS, cells were incubated with FITC-conjugated secondary anti-mouse antibody (Dako)

230 for 1 h at 37°C. The mean values and SDs were calculated using Microsoft Excel
231 software.

232 **Animal experiments**

233 Adult (5- to 7-week-old) female WT C57BL/6 mice were purchased from the National
234 Cancer Institute (NCI) and maintained in the animal care facility at the University of
235 Rochester under specific pathogen-free conditions. Animal experiments were approved
236 by the University Committee of Animal Resources and complied with the
237 recommendations in the Guide for the Care and Use of Laboratory Animals of the
238 National Research Council (45). Mice were anesthetized intraperitoneally (i.p.) with
239 2,2,2-tribromoethanol (Avertin; 240 mg/kg of body weight) and then inoculated
240 intranasally (i.n.) with 30 µl of the indicated amounts of H3N8 WT or LACIV or H3N2 WT.
241 Alternatively, 100 µl of a commercially available inactivated H3N8 CIV vaccine (Nobivac,
242 Merck Animal Health) or inactivated H3N2 CIV vaccine (Zoetis) were inoculated
243 intramuscularly (i.m.). Virus replication was determined by measuring viral titers in the
244 lungs of infected mice at the indicated days p.i. To that end, three mice in each group
245 were euthanized by administration of a lethal dose of Avertin and exsanguination, and
246 lungs were collected and homogenized. Virus titers were determined by immunofocus
247 assay (FFU/ml) as indicated above. Mice sera were collected by submandibular
248 bleeding 24 h prior to viral challenges and evaluated for the presence of influenza virus
249 antibodies by enzyme-linked immunosorbent assays (ELISA) and neutralizing
250 antibodies by hemagglutination inhibition (HAI) assays.

251 **Evaluation of T cells response in lung and spleen**

252 Mice (N=4) were immunized as describe above, with 10³ PFU of H3N8 WT or LACIV,

253 mock vaccinated with PBS, or vaccinated with 100 μ l of Nobivac (IIV).

254 **Cellular Preparations:** Ten days p.i., lungs and spleens were perfused with PBS,
255 removed, and separated into right and left lobes. Lung tissue was dissociated in C
256 tubes by the GentleMACS (Miltenyi Biotek) using the Lung01 program. Samples
257 were incubated in 5 mL [2 μ g/mL] Collagenase II in RPMI +8% FBS at 37°C for 30
258 minutes, with gentle agitation every 10 minutes. After digestion, samples were
259 further dissociated using the Heart01 program. Cell suspensions were filtered
260 through 70 μ m filters prior to 75:40 Percoll (GE Healthcare) discontinuous gradient
261 separation. The top layer, containing fat and other debris, was removed by
262 aspiration. The cell layer was harvested and washed, prior to counting and staining.
263 Single-cell suspensions were prepared from collected spleens by disruption in RPMI
264 +8% FBS. Counting was achieved through Trypan blue exclusion on a hemocytometer.

265 **Flow cytometry:** Single cell suspensions were stained in PBS containing 1%
266 FBS, purified CD16/32 (clone 2.4G2), NP and PA tetramers (46), and the following
267 antibodies: TCR β -PerCPCy5.5, CD8 α -FITC, CD4-BV421, CD44-APCCy7, and CD62L-
268 PECy7. Cells were subsequently stained for viability using Live Dead Aqua (Invitrogen).
269 All antibodies were obtained from eBioscience, BD Biosciences, or Biolegend. PA and
270 NP tetramers were obtained from the NIH tetramer core facility (Atlanta, GA). Cells
271 were analyzed by an LSRII (BD Biosciences) in the University of Rochester Flow
272 Cytometry core facility and analyzed using FlowJo software (Tree Star).

273 **ELISAs**

274 ELISAs were performed as previously described (40) by coating 96-well plates at
275 4°C for 16 h with lysates from mock, H3N8 or H3N2 WT CIV-infected MDCK cells, or

276 with H3N2 CIV HA (250 ng per well; catalog number for IRR: FR-1478) or NA (250 ng
277 per well; catalog number for IRR: FR-1479). After blocking with 1% BSA for 1 h at room
278 temperature, plates were incubated with 2-fold serial dilutions (starting dilution of 1:50)
279 of mice sera for 1 h at 37°C. After incubation, plates were washed with H₂O, and
280 incubated with a HRP-conjugated goat anti-mouse IgG (1:2,000; Southern Biotech) for 1
281 h at 37°C. Reactions were then developed with tetramethylbenzidine (TMB) substrate
282 (BioLegend) for 10 min at room temperature, quenched with 2N H₂SO₄, and read at 450
283 nm (Vmax kinetic microplate reader; Molecular Devices).

284 **HAI assays**

285 To evaluate the presence of H3N8 CIV neutralizing antibodies, mice sera were
286 treated with receptor-destroying enzyme (RDE; Denka Seiken) and heat inactivated for
287 30 min at 56°C. Sera were then serially 2-fold diluted (starting dilution of 1:50) in 96-well
288 V-bottom plates and mixed 1:1 with 4 hemagglutinating units (HAU) of WT H3N8 CIV for
289 30 min at room temperature. The HAI titers were determined by adding 0.5% turkey red
290 blood cells (RBCs) to the virus-antibody mixtures for 30 min on ice, as previously
291 described (40). The GMT and SDs from individual mice (N= 6) were calculated from the
292 last well where hemagglutination was inhibited.

293 **Canine tracheal explants preparation and virus titrations**

294 Three dog tracheas were harvested from healthy Beagles (Charles River
295 Laboratories) that had been used as negative controls in unrelated studies. Briefly,
296 tracheas were collected aseptically immediately upon euthanasia and transported in
297 pre-warmed medium as previously described (18). Tracheas were washed 5 times for a
298 total period of 4h and maintained at 33°C, 5% CO₂, and 95% humidity between washes.

299 The connective tissue was removed and the trachea was then open lengthwise. Each
300 tracheal ring was divided in four 0.25 cm² explants and transferred with the epithelium
301 facing upwards onto an agarose plug covered by a sterile filter. The explants were kept
302 for a total of 6 days at 33°C, 5% CO₂, and 95% humidity.

303 Tracheal explants were infected after a period of 24 h post-preparation (designed as
304 day zero) with 200 PFU of WT or LACIV H3N8, or mock infected with culture medium.
305 Inoculated explants were sampled for virus quantification, bead clearance assays, and
306 histology at days 0, 1, 3 and 5 p.i. Viral replication was evaluated by plaque assays on
307 MDCK cells.

308 **Estimation of bead clearance time**

309 The ciliary function of tracheal explants was evaluated as previously described (18),
310 by placing five microliters of polystyrene microsphere beads (Polysciences,
311 Northampton, UK) on the explants apical surface and measuring the time to displace
312 the beads.

313 **Histological analysis and immunohistochemistry**

314 After collection, the explants were fixed in 10% buffered formalin for a minimum of
315 48 h, before paraffin embedding and sectioning. Subsequently, 4 µm sections of paraffin
316 embedded tissue were either stained with haematoxylin and eosin (H&E) for
317 histopathological evaluation or immunostained for the viral NP using standard
318 procedures as previously described (18). For NP immunostaining, the Dako supervision
319 system was used following the manufacturer's instructions, along with a monoclonal

320 mouse anti-NP (clone HB65; dilution 1:500). Slides were counterstained with Mayer's
321 hematoxylin. Histological images were captured with cellD software (Olympus).

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343 **RESULTS**

344 **Generation and characterization of H3N8 LACIV**

345 We introduced four ts mutations identified in previous studies into the PB2 and PB1
346 genes of H3N8 CIV (34, 47) (**Fig. 1**). No mutation was introduced into the viral NP since
347 H3N8 CIV NP already contains a G at position 34.

348 To determine whether the mutations introduced into the PB2 and PB1 genes confer
349 a ts phenotype to the H3N8 CIV polymerase, we performed a minigenome assay. Both,
350 WT and LACIV H3N8 resulted in similar Gluc expression levels at 33°C (**Fig. 1B**), but
351 Gluc expression was reduced at higher temperatures (37°C and 39°C) in cells
352 transfected with the H3N8 LACIV plasmids. This shows that those mutations resulted in
353 a ts phenotype when introduced in the H3N8 CIV, as previously described for A/AA/6/60
354 H2N2 and other influenza viruses (36-38).

355 We next generated an H3N8 LACIV using plasmid-based reverse genetic
356 approaches (40, 48) and evaluated the viral replication kinetics in MDCK cells infected
357 at low (0.001) MOI, and compared to the WT H3N8 CIV (**Fig. 2**). At 33°C, both WT and
358 LACIV H3N8 grew with the same kinetics and reached similar high titers (10^7 FFU/ml) at
359 48-72 h p.i. (**Fig. 2A**). At higher temperatures (37°C and 39°C) the WT H3N8 CIV
360 replicated at similar levels as those observed at 33°C while H3N8 LACIV titers were
361 reduced ~2-3-logs at 37°C (**Fig. 2B**) or was not detected at 39°C (**Fig. 2C**). These
362 results demonstrate that mutations introduced in PB2 and PB1 conferred a ts phenotype
363 to H3N8 CIV.

364 **LACIV H3N8 is attenuated *in vivo* in mice**

365 As the H3N8 LACIV presented defects in replication at higher (37°C and 39°C)
366 temperatures, we next investigated whether H3N8 LACIV was also attenuated in mice. No
367 signs of infection were detected after infection with WT H3N8 CIV. Therefore, CIV titers in the
368 lungs of infected (10^5 PFU) mice were determined on days 2 (N=3) and 4 (N=3) p.i. and used
369 as a measure of viral attenuation (**Fig. 3**). Notably, virus titers in the lungs were only detected
370 in mice inoculated with WT H3N8 CIV and no virus was detected in mice infected with the
371 H3N8 LACIV. These results indicate that H3N8 LACIV is also attenuated *in vivo*.

372 **Intranasal vaccination with H3N8 LACIV induces protective immunity against** 373 **WT H3N8 CIV challenge**

374 To evaluate the immunity generated by the H3N8 LACIV, mice (N=6) were
375 vaccinated (i.n.) with 10^3 PFU of H3N8 WT or LACIV, mock vaccinated with PBS, or
376 vaccinated (i.m.) with 100 μ l of Nobivac, a commercial IIV against H3N8 CIV (**Fig. 4**).
377 Humoral immune responses were evaluated in mice sera collected 2 weeks later (**Fig.**
378 **4A**). Total H3N8 CIV antibody responses were characterized by ELISA using cell
379 lysates from mock- or H3N8 CIV-infected MDCK cells (48). Mice vaccinated with the
380 H3N8 LACIV elicited high serum IgG titers against parental H3N8 CIV, while antibody
381 titers of mice vaccinated with Nobivac were lower than those in the H3N8 LACIV or WT
382 vaccinated mice (**Fig. 4A**). Additionally, HAI assays were performed to examine the
383 presence of anti-HA neutralizing antibodies on sera from vaccinated mice (**Fig. 4B**),
384 showing that HAI titers against CIV H3N8 were higher in mice vaccinated with the H3N8
385 LACIV than those observed in mice vaccinated with the H3N8 IIV.

386 To further examine the immunogenicity of LACIV, we evaluated if the virus can
387 induce a localized CD8 T cell response (**Fig. 5**). To this end, mice (N=4) were

388 immunized as described above and ten days p.i., lungs and spleen samples were
389 collected and single-cell preparations made for flow cytometric analysis (49). Live, CD8
390 T cells were further gated for a H3N8 CIV-specific population (49, 46). Results showed
391 that vaccination with H3N8 LACIV induced elevated levels of NP and PA-specific lung
392 CD8 T cells, similar to those induced by WT virus in both lungs (**Fig. 5A**) and spleen
393 (**Fig. 5B**). Importantly, animals vaccinated with Nobivac did not show a T cell response
394 either in the lung (**Fig. 5A**) or the spleen (**Fig. 5B**), highlighting important differences in
395 induced immunity between H3N8 LACIV and the H3N8 IIV.

396 We next evaluated the ability of H3N8 LACIV to induce protective immunity. Mice
397 (N=6) were vaccinated i.n. with 10^3 PFU of H3N8 WT or LACIV, i.m. with 100 μ l of the
398 IIV Nobivac, or mock vaccinated with PBS. Two weeks later mice were challenged with
399 10^5 PFU of WT H3N8 CIV and viral titers in the lungs of infected mice (N=3/group) were
400 determined 2 and 4 days after challenge (**Fig. 6**). Mock-vaccinated mice showed high
401 viral titers in the lungs at days 2 and 4 p.i., while mice immunized with H3N8 WT CIV
402 and with LACIV showed no detectable virus in the lungs at those times (**Fig. 6**). Mice
403 vaccinated with the H3N8 IIV showed high viral titers at day 2, but no detectable virus at
404 day 4 p.i. (**Fig. 6**).

405 **H3N8 LACIV is attenuated in canine tracheal explants as compared to H3N8** 406 **WT CIV**

407 To compare LACIV and WT H3N8 CIV pathogenicity and replication efficiency at the
408 site of infection within dogs (**Fig. 7**), we inoculated dog tracheal explants with each virus
409 and compared histological lesions (**Fig. 7A**), viral NP expression (**Fig. 7B**), changes in
410 ciliary function (**Fig. 7C**) and viral replication (**Fig. 7D**) at different times (days 1, 3 and

411 5) p.i. The H3N8 WT CIV induced major histological changes in dog tracheal explants,
412 with thinning and desquamation of the epithelium, loss of cilia (**Fig 5A**), and significant
413 reduction of ciliary function (**Fig. 7C**) between days 1 and 5 p.i. Histological damages
414 induced by H3N8 LACIV were delayed and reduced compared to WT H3N8 CIV, as the
415 epithelium maintained its normal thickness until day 3 p.i. (**Fig. 7A**) and the ciliary
416 function (**Fig. 7C**) was only significantly reduced from day 3 p.i. Viral kinetics (**Fig. 7D**)
417 and NP expression (**Fig. 7B**) were comparable between the two viruses, although only
418 WT H3N8 CIV was detectable at day 1 p.i. (**Fig. 7D**). Overall, these results indicate that
419 H3N8 LACIV pathogenicity is attenuated in canine tracheal explants compared to its WT
420 counterpart.

421 **H3N8 LACIV provides limited protection against heterologous H3N2 CIV** 422 **challenge**

423 We next evaluated if H3N8 LACIV could induce protective immunity against a
424 heterologous H3N2 CIV challenge (**Fig. 8**). Mice (N=6) were vaccinated (i.n.) with 10^3
425 PFU of WT or LACIV H3N8, mock vaccinated with PBS, or vaccinated (i.m.) with 100 μ l
426 of the H3N8 IIV Nobivac or a commercial H3N2 IIV (Zoetis). Antibodies against H3N2
427 CIV were evaluated by ELISA using cell lysates from mock- or H3N2 CIV-infected
428 MDCK cells as antigens (**Fig. 8A**). In addition, antibodies against the HA (**Fig. 8B**) or
429 NA (**Fig. 8C**) proteins of H3N2 CIV were also evaluated. When the cell lysate was used
430 as antigen, antibodies against H3N2 CIV were detected in sera of mice vaccinated with
431 WT H3N8 CIV and, to a lower extent, in mice vaccinated with H3N8 LACIV, although
432 the levels were lower than those against H3N8 CIV (**Fig. 8A**). Similarly, using the
433 recombinant proteins (HA or NA) as antigens to perform the ELISA, only antibodies

434 against H3N2 CIV HA were detected in samples of animals immunized with WT H3N8
435 CIV (**Fig. 8B**). However no antibodies were detected against the NA protein (**Fig. 8C**).
436 No detectable IgG antibodies against H3N2 CIV were detected in mice vaccinated with
437 the H3N8 IIV Nobivac either using the cell extracts (**Fig. 8A**) or the recombinant
438 proteins (**Figs. 8B and C**). The H3N2 CIV IIV induced higher IgG antibodies against
439 H3N2 CIV in all cases, as expected (**Figs. 8A-8C**).

440 The lower level of cross-reactive immunity against H3N2 CIV upon vaccination with
441 the H3N8 LACIV was confirmed after challenge (i.n.) with H3N2 CIV 2 weeks post-
442 vaccination (**Fig. 8D**). Mock-vaccinated mice showed high H3N2 CIV titers that were
443 undistinguishable from those seen in animals vaccinated with the H3N8 CIV IIV Nobivac.
444 In contrast, mice vaccinated with the H3N2 CIV IIV showed reduced or undetectable
445 titers at day 2 and 4 post-challenge, respectively. Although we observed similar H3N2
446 CIV titers at day 2 post-challenge, viral titers at day 4 p.i. in mice vaccinated with the
447 H3N8 LACIV were ~100 times lower than those obtained in the mock vaccinated group.
448 These results suggest that H3N8 LACIV can induce limited cross-reactive immune
449 responses and heterologous protection, most probably mediated by a T cell response,
450 against H3N2 CIV, but that the efficacy is lower than that obtained with the H3N2 IIV.

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457 **DISCUSSION**

458 Here we report a novel LAIV prepared using plasmid-based reverse genetics
459 techniques, which may be used for the prevention of H3N8 CIV. We have generated a
460 recombinant H3N8 CIV containing the mutations responsible for the ts phenotype of the
461 human A/AA/6/60 H2N2 LAIV, resulting in ts H3N8 CIV (LACIV) that was highly
462 attenuated *in vivo* and *ex vivo* when compared to its WT counterpart. Our H3N8 LACIV
463 was able to confer, upon a single i.n. immunization in mice, complete protection against
464 challenge with WT H3N8 CIV. This demonstrates the feasibility of using the ts H3N8
465 LACIV as a safe, immunogenic and protective vaccine to control H3N8 CIV in dogs.
466 However, the H3N8 LACIV showed limited immunogenicity and protection efficacy
467 against the heterologous H3N2 CIV, suggesting the need of a different LACIV for the
468 treatment and control of H3N2 CIV.

469 The ts, ca, att A/AA/6/60 H2N2 LAIV has been licensed for human use since 2003,
470 and is used as a master donor virus (MDV) for the generation of both seasonal or
471 potentially pandemic human LAIV by creating reassortant viruses containing the six
472 H2N2-derived internal viral RNA (vRNA) segments (PB2, PB1, PA, NP, M, and NS) and
473 the two glycoprotein-encoding vRNAs (HA and NA) from a virus that antigenically
474 matches the strains predicted to circulate in the upcoming influenza season (seasonal
475 vaccine) or potentially pandemic strains (pandemic vaccine) (50, 51). Five mutations
476 (PB2 N265S; PB1 K391E, D581G, A661T; and NP D34G) are responsible for the ts
477 phenotype of the A/AA/6/60 H2N2 LAIV, and those mutations also impart a strong ts
478 phenotype and attenuation to other viral strains, such as PR8 (52, 37) and pH1N1 (38).

479 Intranasal immunization is a desirable delivery method for providing optimal
480 immunity to IAV because it leads to the generation of a mucosal immune responses,
481 creating an immune barrier at the site of potential infection (53), as well as systemic
482 humoral responses, cellular immunity (54-56, 51, 57). Similar to infection with WT IAV,
483 LAIV immunization also leads to recruitment of influenza-specific CD8 T cells to the
484 lungs (49, 58, 56, 59, 60), which provides immunity against heterologous influenza
485 challenge (49, 58). Thus, a LAIV rather than an IIV is desired for the control of IAV
486 infections (61, 22, 27, 23).

487 Since the emergence of H3N8 CIV in 1999 in the US and the H3N2 CIV in Asia
488 (2005) and the US (2015), CIVs have been maintained mainly in animal shelters and
489 kennels as those populations allow ready transmission of the virus (10, 62, 16, 17, 63).
490 Strains of the H3 subtype of IAV infect a number of mammalian hosts, including humans,
491 pigs, horses, dogs, cats, seals, as well as poultry (64-66, 6, 67, 63, 11). Naturally
492 occurring H3N1 virus carrying the HA gene of an avian-like H3N2 CIV and the other
493 seven segments of the human pH1N1 has been reported in dogs in Korea (20),
494 suggesting that dogs could act as an intermediate host for genetic reassortment of IAV,
495 including those that might infect humans. However, no transmission of H3N8 or H3N2
496 CIV transmission from dogs to humans has been reported to date. It may be possible to
497 eradicate both H3N8 and H3N2 CIVs from the dog population through infection control
498 as well as vaccination approaches. CIV LAIVs represent a better option for efficient CIV
499 control and probably eradication since they induce better and faster antiviral immunity.

500 The H3N8 LACIV generated here was ts and attenuated in mice, induced protective
501 immune responses against challenge with homologous H3N8 CIV WT, and the

502 responses were stronger than those obtained with a commercial H3N8 CIV IIV. Its
503 replication and pathogenesis were also restricted in canine tracheal explants, and we
504 are currently evaluating the safety, immunogenicity and protection efficacy of our H3N8
505 LACIV in dogs, the real target population.

506 To achieve protection in dogs, animals are vaccinated (i.m.) with 1 ml of the CIV
507 H3N8 IIV (Nobivac) using a two-dose regime (68). The average weight of a male or
508 female mouse is ~ 20 gr while the average weight of a female or male beagle dog is ~
509 10 kg. Thus, in principle mice should be vaccinated with 500 times less (just 2 µl) of the
510 CIV H3N8 IIV than dogs. However, in our experiments, mice were immunized (i.m.) with
511 100 µl of the CIV H3N8 IIV – a 50X higher dose than on a weight basis. In addition, to
512 evaluate the amount of antigen in the CIV H3N8 IIV, we performed an hemagglutination
513 assay (HA) using the commercial CIV H3N8 IIV or our CIV H3N8 LAIV. The assay
514 showed that mice vaccinated with the CIV H3N8 IIV were inoculated with approximately
515 10^6 viral particles/mouse of inactivated virus, 1,000 times more than the dose (10^3) of
516 the H3N8 CIV LAIV. The LAIV still elicited better antibody responses and protection as
517 compared to the CIV H3N8 IIV.

518 Segment eight of IAV encodes the NS1 viral protein, which controls the adaptive
519 immune responses by inhibiting the interferon (IFN)-antiviral response of the host (69).
520 Therefore, NS1 is a virulence factor that offers an attractive target for the development
521 of attenuated viruses as LAIVs. In fact, IAVs harboring a truncated-NS1 have been
522 shown as promising vaccines candidates (70, 71, 28, 72-77). In a recent work, we have
523 generated recombinant H3N8 CIVs containing truncated (NS1-126, NS1-99 or NS1-73)
524 or deleted (Δ NS1) NS1 proteins and tested them as potential LAIVs against CIV H3N8

525 infections (28). The recombinant NS1 mutant H3N8 CIVs were attenuated *in vivo* (mice)
526 and *in vitro* (dog tracheal explants), but were able to confer complete protection against
527 challenge with WT CIV H3N8 (28). Moreover, the immunogenicity and protection
528 efficacy of our NS1 mutant H3N8 CIVs was also better than that observed with an H3N8
529 CIV IIV (28). Future research should determine which one of these attenuation
530 strategies (NS1 mutant or ts H3N8 CIVs) is more efficient for their implementation as a
531 LAIV for the prevention and control of H3N8 CIV in dogs.

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571 **FIGURE LEGENDS**

572 **Figure 1. Effect of temperature on the polymerase activity of H3N8 LACIV. A)**
573 **Schematic representation of segments 1 (PB2) and 2 (PB1) of WT (black) and**
574 **LACIV (gray) H3N8 CIV:** Amino acid substitutions N265S (PB2) and K391E, E581G,
575 and A661T (PB1) to generate the H3N8 LACIV are indicated. **B) Minigenome activity:**
576 MDCK cells (12 well plate format, 3×10^5 cells/well, triplicates) were transiently co-
577 transfected with 250 ng of ambisense pDZ expression plasmids encoding the minimal
578 requirements for viral genome replication and gene transcription (PB2, PB1, PA and
579 NP), together with 500 ng of a vRNA-like expression plasmid encoding Gaussia
580 luciferase (Gluc) under the control of the canine polymerase I promoter (cpPol-I Gluc),
581 and 100 ng of a pCAGGS Cypridina luciferase (Cluc) plasmid to normalize transfection
582 efficiencies. After transfection, cells were placed at 33°C, 37°C or 39°C and viral
583 replication and transcription was evaluated 24 h later by luminescence (Gluc). Gluc
584 activity was normalized to that of Cluc. Data represent means and SDs. Normalized
585 reporter expression is relative to that in the absence of pDZ NP plasmid. Data were
586 represented as relative activity considering WT H3N8 polymerase activity at each
587 temperature as 100%. *, $P < 0.05$ using a Student's t test.

588 **Figure 2. Characterization of H3N8 LACIV *in vitro*:** MDCK cells (12 well plate format,
589 3×10^5 cells/well, triplicates) were infected (MOI of 0.001) with WT (black diamonds) and
590 LACIV (gray squares) H3N8 CIVs and incubated at 33°C (**A**), 37°C (**B**) and 39°C (**C**).
591 TCS were collected at 12, 24, 48, 72, and 96 h p.i. and viral titers were determined by
592 immunofocus assay (FFU/ml). Data represent the means and SDs of the results

593 determined in triplicate. Dotted lines indicate the limit of detection (200 FFU/ml). *,

594 $P < 0.05$ using a Student's *t* test.

595 **Figure 3. Attenuation of H3N8 LACIV *in vivo*:** Female 5- to-7-week-old C57BL/6 WT
596 mice (N=6) were infected (i.n.) with 1×10^5 PFU of WT or LACIV H3N8 CIVs. Three mice
597 were sacrificed at days 2 (black) and 4 (gray) p.i. and lungs were harvested for virus
598 titrations using an immunofocus assay (FFU/ml). Data represent the means and SDs.
599 Dotted line indicate limit of detection (200 FFU/ml). ND, virus not detected.

600 **Figure 4. Immunogenicity of H3N8 LACIV:** Female 5- to-7-week-old C57BL/6 WT
601 mice (N=6) were vaccinated (i.n.) with 1×10^3 PFU of WT or LACIV H3N8 CIVs. Mice
602 mock (PBS) vaccinated or vaccinated (i.m.) with 100 μ l of an H3N8 CIV IIV (Nobivac)
603 were used as internal controls. **A) Induction of humoral responses:** At 14 days post-
604 vaccination, mice were bled and sera was evaluated for the presence of total IgG
605 antibodies against H3N8 CIV proteins using cell extracts of MDCK-infected cells by
606 ELISA. MDCK mock-infected cell extracts were used to evaluate the specificity of the
607 antibody response. OD, optical density. Data represent the means \pm SDs of the results
608 for 6 individual mice. * (Nobivac vs LACIV), ** (WT vs LACIV) or *** (WT vs Nobivac),
609 $P < 0.05$ using a Student's *t* test. **B) Hemagglutination inhibition (HAI) titers:** Sera
610 from immunized mice were evaluated by HAI using four HAU of WT H3N8 CIV and 2-
611 fold serial dilutions of the indicated sera. ND, not detected. * (WT vs LACIV or Nobivac,
612 and LACIV vs Nobivac), $P < 0.05$ using a Student's *t* test.

613 **Figure 5. CD8 T cell response induced by H3N8 LACIV:** Female 5- to-7-week-old
614 C57BL/6 WT mice (N=4) were vaccinated (i.n.) with 1×10^3 PFU of WT or LACIV H3N8
615 CIVs. Mice mock (PBS) vaccinated or vaccinated (i.m.) with 100 μ l of an H3N8 CIV IIV

616 (Nobivac) were used as internal controls. Ten days p.i., lungs (**A**) and spleen (**B**) were
617 extracted and cells were prepared for flow cytometry. Live CD8 T cells specific for NP or
618 PA tetramers were counted. Data represent the means \pm SDs of the results for 4
619 individual mice. * (WT vs LACIV, Nobivac or PBS and LACIV vs Nobivac or PBS),
620 $P < 0.05$ using a Student's t test.

621 **Figure 6. Protection efficacy of H3N8 LACIV against homologous viral challenge:**

622 Female 5- to 7-week-old C57BL/6 WT mice (N=6) were vaccinated (i.n.) with 1×10^3 PFU
623 of WT or LACIV H3N8 CIVs. Mice mock (PBS) vaccinated or vaccinated (i.m.) with 100
624 μ l of an H3N8 CIV IIV (Nobivac) were used as internal controls. At 15 days post-
625 vaccination, mice were challenged (i.n.) with 1×10^5 PFU of WT H3N8 CIV. To evaluate
626 viral replication, mice were euthanized at days 2 (N=3, black) and 4 (N=3, gray) post-
627 challenge and lungs were harvested, homogenized, and used to quantify viral titers by
628 immunofocus assay (FFU/ml). The dotted line indicates the limit of detection (200
629 FFU/ml). ND, virus not detected. Data represent the means \pm SDs. *, $P < 0.05$ using a
630 Student's t test.

631 **Figure 7. Comparison of WT and LACIV H3N8 CIV infection phenotypes in canine**

632 **tracheal explants: A)** Histological features of dog tracheal explants infected with 200
633 PFU of H3N8 WT CIV, H3N8 LACIV or mock-infected with infection media. Lesions are
634 shown in sections stained with haematoxylin and eosin (H&E). **B)** CIV H3N8 infected
635 cells were detected by immunostaining for the viral NP and positive cells are stained in
636 brown. For both (**A**) and (**B**) panels, pictures are representatives of three independent
637 experiments and black horizontal bars represent 20 μ m. **C)** Graphical representation of
638 the bead clearance average time of CIV- or mock-infected dog tracheal explants for

three independent experiments. Data represent the means \pm SDs. Ns, $p > 0.05$ (D1: LACIV vs Mock); *, $p < 0.05$ (D1: WT vs Mock); **, $p < 0.01$ (D3: LACIV vs Mock); ***, $p < 0.001$ (D3: WT vs Mock); ****, $p < 0.0001$ (D5: LACIV and WT vs Mock). **D)** Average viral replication of H3N8 LACIV and H3N8 WT CIV in canine tracheal explants from three independent experiments. Data represent the means \pm SDs. Dotted line indicate limit of detection (20 FFU/ml).

Figure.8: Immunogenicity and protection efficacy of H3N8 LACIV against heterologous H3N2 CIV challenge: Female 5- to-7-week-old C57BL/6 WT mice were vaccinated (i.n.) with 1×10^3 PFU of WT and LACIV H3N8 CIVs. Mice mock (PBS) vaccinated or vaccinated (i.m.) with 100 μ l of the H3N8 (Nobivac) and an H3N2 CIV IIV (Zoetis) were used as internal controls. **A to C) Antibody cross-reactivity against the heterologous CIV H3N2:** At 14 days post-vaccination, mice were bled and sera was evaluated by ELISA for total IgG antibodies against H3N2 CIV proteins using cell extracts of MDCK-infected cells (**A**). Mock-infected MDCK cell extracts were used to evaluate the specificity of the antibody response. OD, optical density. Data represent the means \pm SDs of the results for 6 individual mice. * (Nobivac vs LACIV), ** (WT vs LACIV) or *** (WT vs Nobivac), $P < 0.05$ using a Student's t test. Specific antibody response against recombinant HA (**B**) and NA (**C**) proteins from H3N2 CIV were evaluated by ELISA. Data represent the means \pm SDs of the results for pooled sera samples. * (WT vs LACIV), $P < 0.05$ using a Student's t test. **D) Protection efficacy of H3N8 LACIV against heterologous H3N2 CIV challenge:** At 15 days post-vaccination, mice were challenged (i.n.) with 1×10^5 PFU of WT H3N2 CIV. To evaluate WT H3N2 CIV replication, mice were sacrificed at days 2 (N=3, black) and 4 (N=3, gray) post-

662 challenge and lungs were harvested, homogenized, and used to evaluate the presence
663 of virus by immunofocus assay (FFU/ml). The dotted line indicates the limit of detection
664 (200 FFU/ml). ND, virus not detected. Data represent the means +/- SDs. *, $P < 0.05$
665 using a Student's t test.

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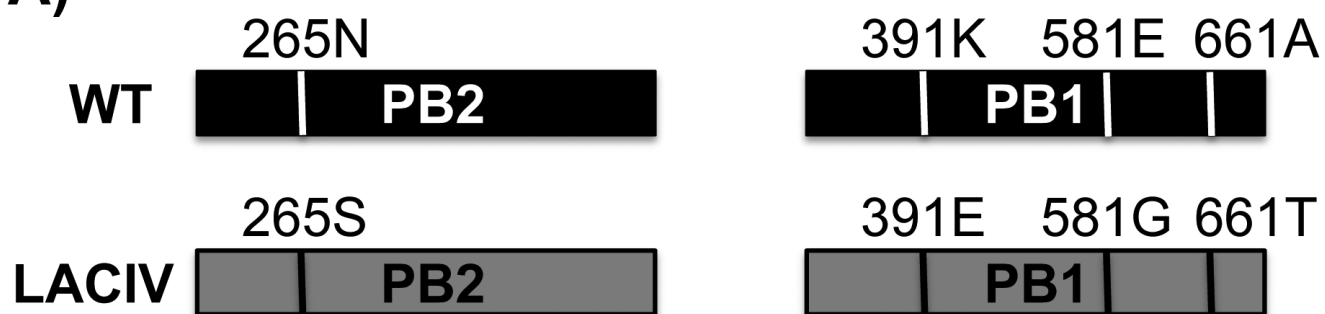
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